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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cheever et al.

Application No.: Not yet assigned

Filed: March 9, 2001

For: HER-2/NEU FUSION PROTEINS

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please amend the application as follows:

IN THE CLAIMS

Please cancel claims 1-92 without prejudice to subsequent revival. Please add new claims 93-112 as follows.

93. An isolated protein comprising a HER-2/neu extracellular domain fused to a HER-2/neu phosphorylation domain, wherein the protein has a sequence at least 80% identical to the sequence of SEQ ID NO:6, or wherein the protein comprises a sequence at least 80% identical to the sequence of SEQ ID NO:3 fused to a sequence at least 80% identical to the sequence of SEQ ID NO:4, and wherein the protein is capable of producing an immune response in a warm-blooded animal.

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94. The protein of claim 93, wherein the protein comprises a sequence at least 80 % identical to the sequence of SEQ ID NO:3 fused to an amino acid sequence at least 80% identical to the sequence inclusive of Gln 991 to Val 1256 of SEQ ID NO:2.

- 95. The protein of claim 93, wherein the protein comprises a sequence at least 80% identical to the sequence of SEQ ID NO:8 fused to a sequence at least 80% identical to the sequence of SEQ ID NO:4.
- 96. The protein of claim 93, wherein the protein comprises a sequence at least 80% identical to the sequence of SEQ ID NO:8 fused to the amino acid sequence inclusive of Gln 991 to Val 1256 of SEQ ID NO:2.
- 97. The protein of claim 93, wherein the HER-2/neu extracellular domain is fused to the HER-2/neu phosphorylation domain via a chemical linker.
- 98. The protein of claim 97, wherein the chemical linker is an amino acid linker
- 99. A pharmaceutical composition comprising the protein molecule of claim 93, and a pharmaceutically acceptable carrier or diluent.
- 100. The pharmaceutical composition of claim 99, further comprising an immunostimulatory substance.
- 101. The pharmaceutical composition of claim 99, wherein the protein is presented in an oil-in-water emulsion.

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- 102. The pharmaceutical composition of claim 99, wherein the immunostimulatory substance is SBAS2, 3D-MPL, QS21, or a combination of 3D-MPL and QS21.
- 103. An protein comprising a HER-2/neu extracellular domain fused to a fragment of the HER-2/neu phosphorylation domain, wherein the protein has a sequence at least 80% identical to the sequence of SEQ ID NO:7, or wherein the protein comprises a sequence at least 80% identical to the sequence of SEQ ID NO:3 fused to a sequence at least 80% identical to the sequence of SEQ ID NO:5, and wherein the protein is capable of producing an immune response in a warm-blooded animal.
- 104. The protein of claim 103, wherein the protein comprises a sequence at least 80% identical to the sequence of SEQ ID NO:3 fused to a sequence at least 80% identical to the amino acid sequence inclusive of Gln 991 to Arg 1049 of SEQ ID NO:2.
- 105. The protein of claim 103, wherein the protein comprises a sequence at least 80% identical to the sequence of SEQ ID NO:8 fused to a sequence at least 80% identical to the sequence of SEQ ID NO:5.
- 106. The protein of claim 103, wherein the protein comprises a sequence at least 80% identical to the sequence of SEQ ID NO:8 fused to a sequence at least 80% identical to the amino acid sequence inclusive of Gln 991 to Arg 1049 of SEQ ID NO:2.
- 107. The protein of claim 103, wherein the HER-2/neu extracellular domain is fused to the HER-2/neu phosphorylation domain via a chemical linker.
- 108. The protein of claim 103, wherein the chemical linker is an amino acid linker

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109. A pharmaceutical composition comprising the protein molecule of claim 103, and a pharmaceutically acceptable carrier or diluent.

<u>PATENT</u>

110. The pharmaceutical composition of claim 109, further comprising an immunostimulatory substance.

The pharmaceutical composition of claim 109, wherein the protein is 111. presented in an oil-in-water emulsion.

112. The pharmaceutical composition of claim 109, wherein the immunostimulatory substance is SBAS2, 3D-MPL, QS21, or a combination of 3D-MPL and QS21.

CONCLUSION

In view of the foregoing, Applicants believe all claims now pending in this Application are in condition for allowance. The issuance of a formal Notice of Allowance at an early date is respectfully requested.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted,

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